Legal Recruitment Bureau

Division of Economic Justice Investor Protection Bureau Deputy Bureau Chief - New York City Reference No. IPB_AAG/DBC_NYC 3175

The New York State Office of the Attorney General (OAG) is seeking an experienced attorney with a strong securities background to help lead the work of the Office's <u>Investor Protection Bureau</u> (IPB), as its Deputy Bureau Chief. This position is located in New York City.

The Investor Protection Bureau is charged with enforcing the New York State securities law, commonly known as the Martin Act. The Martin Act gives the Attorney General broad law-enforcement powers to conduct investigations of suspected securities fraud. IPB's Enforcement Section evaluates complaints, conducts civil investigations, and litigates matters pursuant to the Martin Act and New York's Executive Law. In recent years, the section has investigated a wide range of conduct impacting the financial markets, including high frequency trading, Ponzi schemes, and foreign currency exchange. IPB also exercises significant regulatory authority through its Registration and Franchise Sections. Through these regulatory and enforcement powers, IPB protects the public from fraud and misrepresentation in the offering and sale of securities, commodities, franchises and other investment products.

The Deputy Bureau Chief will aid the Bureau Chief in the supervision of attorneys and support staff across the enforcement, registration and franchise sections. The Deputy Bureau Chief will also assist on broader IPB initiatives, which may involve the coordination with outside government agencies, including other Attorneys General, state securities regulators, federal regulators and NASAA.

We are seeking applicants with the following qualifications:

- A minimum of **ten (10) years** of experience in the investigation and litigation of securities fraud and regulation of securities, commodities, and other financial activities and products;
- Strong supervisory and mentorship skills;
- Excellent analytical, research, and writing skills;
- Deep knowledge of the financial markets, as well as the Martin Act, federal securities laws, and other states' securities laws;
- The ability to conduct and supervise analysis of financial data and information from a variety of sources:
- Deposition and trial experience; and
- A demonstrated commitment to public service.

Applicants must reside in (or intend to soon become a resident of) New York State and be admitted to practice law in New York State. In addition, the Public Officers Law requires that attorneys in the OAG must be citizens of the United States. A two (2) year commitment upon being hired is a condition of employment.

How To Apply

Applications are being received online. To apply, please click on this link: IPB_AAG/DBC_NYC 3175; applicants will be taken to the online application page for this position.

Applicants must be prepared to submit a complete application consisting of the following:

- <u>Cover Letter</u> (You may address to Sandra Jefferson Grannum, Esq., Bureau Chief, Legal Recruitment)
- Resume
- List of three (3) <u>references</u> with contact information and email addresses
- Writing Sample

Please note: Failure to submit a complete application will delay the consideration of your application.

If you have questions regarding a position with the OAG and the application process or you need assistance with submitting your application, please contact the Legal Recruitment Bureau via email at recruitment@ag.ny.gov or phone at 212-416-8080.

For more information about the OAG, please visit our website: www.ag.ny.gov