



One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Job Vacancy

Associate Attorney Financial Services, M3

Location: One State Street, NYC

Business Unit: Research & Innovation Division

Negotiating Unit: Management Confidential

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$99,415 - \$125,629

Please note that positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.

Appointment Status: Permanent

Appointment to this position is pending Division of Budget approval to fill.

The Department of Financial Services (DFS) is expanding its virtual currency team and is looking for dynamic professionals to fill the position of Associate Attorney Financial Services in the Research & Innovation Division. DFS is seeking individuals with a variety of backgrounds and skill sets, for virtual currency application review and analysis; examination of regulated virtual currency firms; supervision of firms seeking to make changes to their business; and other tasks relevant to the sound regulation of this dynamic industry. Virtual currency experience is helpful but not required. Duties of this position may include, but not be limited to the following:

- Reviews and analyzes submissions from supervised virtual currency entities, including requests for approval of new products, new lines of business, planned M&A events, and other material changes in business, recommending action by the Department;
- Engages with outside entities, including virtual currency firms, law firms, and consultants, regarding submissions, examinations, and other matters;
- Participates in legal and/or policy discussions and analyses working with Office of the General Counsel in some cases;
- Participates in the analysis of documents submitted by or collected from virtual currency entities, through the examination process or otherwise;
- Advises colleagues on the virtual currency team on legal issues concerning licensing or supervision;
- Prepares briefing materials and memoranda for managers and senior executives, including memoranda making reasoned recommendations for Department action;
- May participate in examinations of virtual currency businesses, and/or review and analyze license/charter applications from virtual currency entities, and
- Works on ad hoc projects as required, often involving legal or policy considerations.

Preferred Qualifications:

- Ability to efficiently manage a large workload.
- Excellent oral and written communication skills.
- Excellent research and analytical skills, and strong organizational skill.

Appointment Method:

This is an appointment to a position in the non-competitive jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority. Candidates must meet the minimum qualifications listed below in order to be eligible for appointment.

Minimum Qualifications:

Non-Competitive: Admission to the New York State Bar and four years of legal experience subsequent to admission to the NYS Bar. Legal experience must be in one or more of the following fields: litigation or financial industry investigations; corporate law involving such actions as corporate mergers, dissolutions, stockholder meetings and other related corporate actions; commercial financial documentation and negotiation; bank regulatory experience; insurance regulatory and legislative experience; and prosecutorial experience gained in a city, state, or federal law enforcement or administrative agency that regularly conducts civil enforcement action in financial and commercial matters.

Substitutions

A master's degree in law with a concentration in Banking Law, Insurance Law, Financial Services Law, Corporate Law, or international legal studies may substitute for two years of the experience.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than March 31, 2020**, to the email address listed below. Please include (**Box AAFS-00227**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Darlene Clemente
Box AAFS-00227
New York State Department of Financial Services
Office of Human Resources Management
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Albany, New York 12257
Email: nce.notifications@dfs.ny.gov
Fax: (518) 402-5071

Please note that not all applicants may be scheduled for an interview.

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov.