

**WOMEN'S BAR ASSOCIATION OF THE STATE OF NEW YORK (WBASNY)  
ANNUAL DISCLOSURE STATEMENT**

**To be completed by WBASNY Directors, WBASNY Director Nominees, WBASNY Officers, and WBASNY Key Persons:**

■ Please identify, to the best of your knowledge, any and all entities of which **You** are an officer, director, trustee, member, owner (either as a sole proprietor or a partner), or employee **and with which WBASNY has a relationship:**

*Note: "relationship" is not defined in the statute. The intention is to identify potential conflicts of interest which can then be reviewed and discussed to determine if an actual conflict exists. Conflicts customarily arise due to financial or contractual relationships, voting or approval authority or position of influence. If you are unsure whether your role with an entity would constitute a potential conflict, please disclose:*

Your WBASNY Chapter Name: \_\_\_\_\_

Your Position(s) at Your Chapter: \_\_\_\_\_

Other (List Entity and Your Position(s) and Describe the Details of the Relationship with WBASNY (attached a separate sheet if necessary):

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

■ Please identify, to the best of your knowledge, if **You** or any **Related Party** have had or engaged in any of the following (other than a De minimis Interest and other than matters previously fully disclosed, evaluated and resolved under the Policy)?

1 Through business, investment or a Related Party - a direct or indirect, ownership or investment interest in any entity with which WBASNY has or is negotiating a transaction or arrangement?	Yes	No
2. A compensation arrangement (which includes but is not limited to direct and indirect remuneration as well as gifts or favors that are not insubstantial) with WBASNY or with any entity or individual with which WBASNY has or is negotiating a transaction or arrangement?	Yes	No
3. A potential ownership or investment interest in, or compensation arrangement (which includes but is not limited to direct and indirect remuneration as well as gifts or favors that are not insubstantial) with, any entity or individual with which WBASNY has or is negotiating a transaction or arrangement?	Yes	No

If yes, to any of the above please describe the relevant facts (attach a separate sheet if necessary):

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■ Except to the extent disclosed above or previously fully disclosed, evaluated and resolved under the Policy, please identify, to the best of your knowledge, any and all potential or actual conflicts of interest **You** or a **Related Party** has or may have, directly or indirectly, **with WBASNY** (for examples, see Schedule A) (attach a separate sheet if necessary):

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I acknowledge that I have received a copy of, read and understood the WBASNY Conflict of Interest Policy and agree that I have adhered and will continue to adhere to such policy.

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

*Attachment: Samples of Actual or Potential Conflicts of Interest*

**Schedule A**  
**Samples of Actual or Potential Conflicts of Interest**

*Note: No De minimis Interest will constitute a Conflict of Interest*

1. A direct or indirect interest (financial or otherwise) in a transaction, agreement or any other arrangement and in which WBASNY or any Affiliate participates;

*Note: 'financial or otherwise' is meant to be inclusive of interests which are not just economic, but arise from intangibles such as contracts, voting rights, approval authority or other positions of influence. but do not include De minimis Interests.*

2. A compensation arrangement or other interest in a transaction with WBASNY;
3. A compensation arrangement or other interest in or affiliation with any entity or individual that: (i) sells goods or services to, or purchases goods or services from, WBASNY; (ii) competes with WBASNY; or (iii) WBASNY has, or is negotiating, or contemplating negotiating, any other transaction or arrangement with;
4. The ability to use his or her position, or confidential information or the assets of WBASNY, to his or her (or an Affiliate's) personal advantage or for an improper or illegal purpose;
5. Solicitation or acceptance of any gift, entertainment, or other favor where such gift might create the appearance of influence on any WBASNY Officer, Director or Key Person (other than gifts of nominal value, which are clearly tokens of respect and friendship unrelated to any particular transaction);
6. Acquisition of any property or other rights in which WBASNY has, or the WBASNY Officer, Director or Key Person knows or has reason to believe at the time of acquisition that WBASNY is likely to have, an interest;
7. An opportunity related to the activities of WBASNY that is available to WBASNY or to the WBASNY Officer, Director or Key Person, unless the WBASNY Board has made an informed decision that WBASNY will not pursue that opportunity;
8. A debt owed to WBASNY, other than for amounts due for ordinary travel and expense advances; or
9. Any other circumstance that may, in fact or in appearance, make it difficult for a WBASNY Officer, Director or Key Person to exercise independent, objective judgment or otherwise perform effectively.